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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

CERTIFICATE OF EXPRESS MAILING

Attorney Docket No. AND1P525

First Named Inventor:

FANO, Andrew E.

03/08/00 3780 U.S. PTO  
This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Express Mailing Label

Number **EL468400529US**.

jc625 U.S. PTO  
09/520943  
03/08/00

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventor:  
**Andrew E. Fano and Scott Kurth**

For: **SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR MAKING FINANCIAL DECISIONS BY BALANCING GOALS IN A FINANCIAL MANAGER**

Application Elements:

- ☒ 48 Pages of Specification, Claims and Abstract  
☒ 18 Sheets of Drawings  
☐ \*\* Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Small Entity Statement(s)  
☒ Other: **NO FEES ENCLOSED**

☒ The Commissioner is authorized to charge any required fees, or to credit any overpayment, to Deposit Account No. 50-0797 (Order No. AND1P525).

General Authorization for Petition for Extension of Time (37 CFR § 1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR § 1.17 as may be needed to Deposit Account No. 50-0797 (Order No. AND1P525).

☒ Please send correspondence to the following address:

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Customer Number::

**23053**

PATENT TRADEMARK OFFICE

Date: March 8, 2000

**L. Keith Stephens**  
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